Community Bankers Association of Illinois

Webinar – On-Demand Web Link

Board Reporting: Requirements, Timing, Delivery Options, Risks & Concerns
Wednesday, April 7, 2021
2 - 3:30 p.m.

When examiners review your risk management program, they evaluate the overall effectiveness of management and the board. Specifically, they look at:

- Board and senior management oversight
- Policies, procedures and limits
- Risk monitoring and management information systems
- Internal controls

Examiners use board and subcommittee reports and minutes to ensure that management effectively communicates with the board, and that the board has ample oversight over risk management, compliance, operations and financials. Therefore, clear, concise, complete reports are critical to the board's decision-making. In this valuable webinar, you learn which reports should be in board packages, how the reports should be utilized, and how to recognize red flags in board reports.

HIGHLIGHTS

- Use checklists to track whether corporate documents, policies and reports are appropriately reviewed by the board
- Review compliance exception reports
- Define BSA responsibilities, including policy and risk assessment approval, training requirements, and reviewing audit/exam findings
- Explain key information from lending reports, including tracking concentrations, stress testing and LTV standards
- Identify identity theft red flags

TAKE-AWAY TOOLKIT

- Sample policy and procedure approval schedule
- Sample board report schedule
- Sample risk assessments
- Employee training log
- Interactive quiz

DON'T MISS THIS RELATED WEBINAR!
Board Secretary Training: Documenting Minutes, Corrections & Disagreements on Wednesday, November 3, 2021
Attendance verification for CE credits provided upon request.

WHO SHOULD ATTEND?
This informative session is designed for directors, compliance officers, risk managers, senior management, board secretaries and auditors.

ABOUT THE PRESENTER
Dawn Kincaid, Brode Consulting Services, Inc., began her banking career while attending The Ohio State University, Columbus. She has 19 years experience in client service, operations, information technology, administrative and board relations, marketing and compliance. Most recently, Kincaid served as the senior vice president of operations for a central-Ohio-based community bank, where she created and refined policies and procedures, conducted self-audits and risk assessments, and organized implementation of new products and services. Kincaid has served in the roles of compliance, BSA/AML, CRA, privacy and security officer. She has led training initiatives, prepared due diligence information, completed a variety of regulatory applications, and coordinated internal and external audits and exams.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR
The live webinar allows you to have up to three audio and Internet connections to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that allow entrance to the seminar. The session is approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts are emailed to you prior to the broadcast. You need the most-current version of Adobe Reader available free at www.adobe.com.

2. ON-DEMAND WEB LINK**
Can't attend the live webinar? The ON-DEMAND WEB LINK** is a recording of the live event including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Within two business days following the webinar, you are provided with a web link that can be viewed anytime for the next six months. This link expires six months after the live program date.

3. BOTH LIVE WEBINAR AND ON-DEMAND WEB LINK**
Options 1 and 2 described above.

NEW! Listen to the on-demand web link on your iPad, iPhone, Android. Instructions will be emailed to you with the on-demand link.

AFFORDABLE TRAINING, WHEN AND WHERE YOU CHOOSE

Registration Form

Board Reporting: Requirements, Timing, Delivery Options, Risks & Concerns
Wednesday, April 7, 2021 **2 - 3:30 p.m.**

Choose Your Training Option:

Purchase (Check one)	**Training Options** ***(Registration includes hookups in up to three locations)***	**CBAI Member**	**Prospective-Member***
	Live Webinar	$275	$475
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