

# Advanced BSA Conference



**July 13, 2021**

**SHAZAM  
Education Center  
CBAI Headquarters,  
Springfield, IL**

*CBAI is monitoring the COVID-19 situation and will make any changes to in-person sessions as necessary to comply with state and federal mandates and orders.*

*Focusing on all applicable BSA activity over the last 18 months.*

*Presented By:*



**Young & Associates, Inc.**

*Sponsored By:*



**Community  
Bankers  
Association  
of Illinois®**

# Advanced BSA CONFERENCE 2021

Regulatory expectations for BSA continue to remain high, and banks have to react appropriately. There is a continued need to focus on the effective and meaningful elements of a BSA program. This conference will discuss many “need-to-know” issues for the BSA/AML professional including a focused look at the impact of the brand new BSA Exam Manual. Each topic will be considered in depth, with an emphasis on practical approaches.

This one-day program is designed to focus specifically on all applicable BSA activity over the last 12 - 18 months. The agenda for this conference will include a detailed review of the recent FinCEN activity, as well as applicable case studies, industry hot topics, and an interactive round table discussion.

This program helps to keep BSA personnel informed of industry trends, regulatory focuses, and other information relevant to the BSA professional.

***Our focus for 2021 will include the following topics:***

## **FinCEN Activity and Emerging Trends**

A core focus of this portion of the program is to look at applicable BSA activity over the 18 months. This section will discuss recent pronouncements that have been issued by FinCEN, such as enforcements, remarks by various FinCEN employees, and other applicable releases. In addition, information from other BSA/AML groups - such as the Financial Action Task Force - may also be discussed, as applicable. COVID-19 related issues will be included, as they stand at the time of the presentation.

## **Marijuana**

Marijuana is here in the state of Illinois and as time passes, banks will see more and more impacts from the now-legal drug. Many attendees will have had to face situations regarding this issue. The instructor will discuss what Young & Associates is seeing, and will solicit comments from attendees regarding the impact it is having on attending organizations.

## **Case Studies**

The program will also include case studies to help further develop BSA management skills. The case studies will highlight real life scenarios that have occurred in banks.

## **BSA Exam Manual**

A brand new Exam Manual for BSA was issued during 2020, and some sections were updated in 2021. The session will discuss the impact of the changes. Although regulators stated that the exam manual is changing the approach of exams from a flat system (examiners will look at everything) to a more risk-based approach. It is difficult to discover those changes in the new exam manual. It seems clear that banks will probably require more detailed risk analysis.

## **Open Discussion: Compliance Challenges**

Presenters will answer questions and offer opinions on discussion topics asked live during the conference or submitted in advance of the program. Questions and discussion topics will be solicited from the attendees in the weeks prior to the conference.

**DATE &  
LOCATION  
July 13, 2021**

**SHAZAM Education  
Center  
CBAI Headquarters  
Springfield, IL**

**Registration begins  
at 8:30 AM and  
the seminar will run from  
9:00 AM to 4:00 PM.**

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## Who Should Attend?

This conference is ideally suited to employees with BSA/AML responsibilities who have a basic understanding of anti-money laundering laws and regulations, including BSA officers, compliance officers, auditors, head tellers, and risk managers. While specifically designed for BSA professionals at community banks with assets of less than one billion, this program could benefit those with BSA responsibilities at a financial institution of any size.



## Seminar Presenters



**Bill Elliott**  
*CRCM, Senior Consultant and Director of Compliance Education  
Young & Associates, Inc.*

Bill Elliott has over 40 years of banking experience. As a compliance consultant and Director of Compliance Education with Young & Associates, Inc., Bill works on a variety of compliance-related issues, including leading compliance seminars, conducting compliance reviews for all areas of compliance, conducting in-house training, and writing compliance articles and training materials. During his career, Bill spent 15 years as a compliance officer and CRA officer in a large community bank, and also worked for a large regional bank. He has been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments, including loan review, consumer/commercial loan processing, mortgage loan processing, loan administration, credit administration, collections, and commercial loan workout.



**Edward Pugh**  
*CAMS, Consultant  
Young & Associates, Inc.*

Edward Pugh is a Consultant in Young & Associates, Inc.'s compliance division, specializing in AML/BSA. Ed reviews clients' BSA/AML compliance programs throughout the Midwest, and contributes to corporate newsletters as well as educational programs for bankers. He has worked for over 20 years in the industry, five of which he oversaw a team of bank examiners. He has also worked in retail lending and deposit products, corporate trust, compliance, and regulatory supervision. Ed holds the CAMS designation (Certified Anti-Money Laundering Specialist). He earned BA in Economics from the University of Texas at Austin.

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## REGISTRATION FEES

### CBAI MEMBER:

First Registration: \$275 Each  
Additional Person: \$255

### CBAI PROSPECTIVE MEMBER:

First Registration: \$475 Each  
Additional Person: \$455



## DATE & LOCATION

July 13, 2021

SHAZAM Education Center  
CBAI Headquarters  
901 Community Dr.  
Springfield, IL 62703

## REGISTRATION FORM

### Designated Banker:

Name \_\_\_\_\_

Bank \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_ ZIP \_\_\_\_\_

Telephone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail \_\_\_\_\_

*(E-mail address required for registration)*

### Additional Delegates

\_\_\_\_\_  
\_\_\_\_\_

I have special needs, please contact me before the seminar.

*Please select your payment method:*

Check Enclosed  Pay at Door  Check in Mail  Credit Card\*

\* If paying by credit card (Visa, MasterCard and Discover Accepted), please fill out the following information:

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### *For additional information, call:*

Tracy McQuinn, Senior Vice President of Education and Special Events  
Melinda McClelland, Vice President  
Jennifer Nika, Vice President  
Kim Cordier, Administrative Assistant  
Tina Wilder, Administrative Assistant  
Department of Education and Special Events  
at 1-800-736-2224 or (217) 529-2265  
Terry Griffin - Chicago area Vice President, at (773) 209-2260  
or Fax number: (217) 585-8738