

# Seminar for the Community-Bank Attorney



- Recent State Legislation and Case Law Affecting Financial Institutions
- Court Room Diaries: Bad Things Happening to Good Banks
- Cannabis in the Community
- Federal Legislative and Regulatory Update
- Practicing Civility: How to Deal Ethically with Those Who Don't Play by the Rules

CBAI has applied for 5.5 hours of Minimum Continuing Legal Education (MCLE) credit.



July 24, 2020  
SHAZAM Education Center  
CBAI Headquarters  
Springfield, IL

# Course Introduction

To help community-bank attorneys obtain the latest information regarding the myriad of legislative, legal and regulatory mandates facing community banks, as well as to meet the Supreme Court of Illinois-ordered requirements for Minimum Continuing Legal Education (MCLE), CBAI developed this comprehensive one-day education session entitled, "Seminar for the Community-Bank Attorney." This seminar utilizes the expertise of a number of attorneys who specialize in banking law related topics.

It provides an update on the following topics:

- Recent Legislation and Case Law Affecting Financial Institutions
- Court Room Diaries: Bad Things Happening in Good Banks
- Cannabis in the Community
- Federal Legislative and Regulatory Update
- Practicing Civility: How to Deal Ethically with Those Who Don't Play by the Rules

**CBAI has applied for 5.5 hours of MCLE credit.** Any attorney who sits on a community-bank board of directors, acts as legal counsel to a community bank, or has an interest in these topics would benefit from attending.

## Speakers & Topics

**8:30 - 9 a.m.**

**Registration/Continental Breakfast/Exhibits**

**9 - 10:15 a.m.**

**"Recent State Legislation and Case Law Affecting Financial Institutions"**

*Jerry Cavanaugh, General Counsel*

*Community Bankers Association of Illinois, Springfield, IL*

This presentation addresses recently effective state banking legislation, as well as State and U.S. Appellate Court opinions from the past 12 months.

**Jerry Cavanaugh** joined CBAI in 1999 after serving as legal counsel for 13 years at the Illinois Office of Banks and Real Estate. He drafts and analyzes legislation and assists in CBAI legislative lobbying efforts. His "Legal Link" column in CBAI's Banknotes magazine and "CBAI Legal" in the CBAI bi-weekly electronic newsletter provide information on recent regulatory changes and litigation relevant to financial institutions. He received his B.S. degree from Illinois State University, Normal, and his J.D. from the School of Law at Southern Illinois University in Carbondale.

**10:15 - 10:30 a.m.**

**Break**

**10:30 - 11:45 a.m.**

**"Court Room Diaries: Bad Things Happening in Good Banks"**

*Joseph B. VanFleet*

*Howard & Howard, Peoria, IL*

Through this interactive presentation, community bankers gain a brighter understanding of current dilemmas facing banks and the obstacles they present in protecting the bank's assets. Three of the most problematic areas that currently put bank assets at risk are discussed. The presentation concludes by dissecting a practical case study for discussion to illustrate the importance of quickly and effectively resolving these issues.

**Joseph B. VanFleet** concentrates his practice in business, banking, commercial, real estate and construction litigation. VanFleet began his career at one of the world's largest law firms, representing banks and Fortune 100 companies in a variety of financial, white-collar and real estate-based investigations and litigation.

He left the relative stability of an international firm to form his own boutique law firm where he became a nationally recognized trial lawyer, focused on providing sophisticated cost-effective representation for his broad spectrum of banks and corporate clients. After merging his firm with Howard & Howard in 2016, his practice now ranges from small businesses and community banks to the Fortune 100 publicly traded entities and large-scale lenders he has always represented. VanFleet brings an innovative, practical and solution-based approach. He has represented clients throughout the country in numerous complex and multidistrict cases and has obtained victories in well over 100 trials, appeals and alternative disputes.

**11:45 a.m. - 12:45 p.m.**

**Lunch**

**12:45 - 1:45 p.m.**

**"Cannabis in the Community"**

*Harvey M. Stephens & Daniel L. Hamilton*

*Brown, Hay & Stephens, Springfield, IL*

This session provides insights and helpful advice regarding bank compliance with recreational cannabis business interactions. It also addresses employment issues and general impacts of recreational cannabis legalization.

**Harvey M. Stephens** began his career with Brown, Hay & Stephens in 1987 and has served the business and estate planning needs of institutional and individual clients in downstate Illinois.

Stephens' business practice includes entity selection and formation including guidance as to governance and control structures. He regularly represents banks and bank holding companies in all forms of regulatory matters. In addition, he has extensive experience in the agricultural business arena with the sale of agricultural related businesses.

As a part of representing institutional clients, he provides ongoing estate and succession planning to businesses and individuals. Such work includes assisting the owners and principals of the firm's commercial clients with an understanding of the estate planning process and working with family dynamics. In addition to specific transactional matters, Stephens provides general counsel to many business organizations.



# Speakers & Topics

**Daniel L. Hamilton** has a diversified practice focusing on real estate, transactional matters, and Illinois legislative and administrative actions. Hamilton is a graduate of the University of North Carolina at Chapel Hill and Southern Illinois University School of Law. Prior to practicing law, he worked in the Illinois General Assembly as a legislative analyst advising elected officials, drafting legislation, and participating in a variety of facets of the legislative process. His background in the General Assembly provided him with expertise in policy making and governmental affairs.

Hamilton also has extensive experience in managing and advising political campaigns and grass roots organizations. Hamilton facilitates and represents clients in commercial and residential real estate transactions, zoning issues and property tax valuations. He also advises on general employment law matters, assists in federal contractor compliance obligations, prepares OFCCP compliant affirmative action plans, and represents employers in collective-bargaining and employment disputes. Hamilton joined Brown, Hay & Stephens in 2013.

**2 p.m.  
Break**

**2 - 2:45 p.m.**

## **Federal Legislative and Regulatory Update**

*David Schroeder, SVP of Federal Governmental Relations, Community Bankers Association of Illinois, Springfield, IL*

**David Schroeder**, CBAI's senior vice president federal governmental relations, provides an update on federal issues impacting community banks. Schroeder's frequent meetings with members of the Illinois Congressional Delegation and federal regulators in Washington D.C. and Chicago provide the foundation for a review of the legislative and regulatory initiatives that have passed or are pending in Congress and with the regulators. His more than 40 years of experience as a community banker and former CBAI leadership banker, ensure that his focus is on the important federal challenges and opportunities facing community banks.

**David Schroeder** is senior vice president of federal governmental relations with the Community Bankers Association of Illinois. Schroeder joined the executive staff of CBAI in 2010 after a long career as a community banker in the Chicago area. He has served on numerous CBAI committees and boards, and was elected its chairman in 2005-2006. He has testified in Washington, D.C. (on behalf of the Independent Community Bankers of America) before congressional committees on small-business lending issues and the financial crisis. His responsibilities with CBAI include working closely on federal banking issues with members of the entire Illinois Congressional Delegation and federal-banking regulators.

**2:45 - 4 p.m.**

## **"Practicing Civility: How to Deal Ethically with Those Who Don't Play by the Rules"**

*William F. Moran, III, Managing Partner  
Stratton, Moran, Giganti, Reichert, Sronce & Appleton,  
Springfield, IL*

This session is designed to focus on skills related to civility in the legal workplace, techniques to manage your response to incivility, and cultivating skills to defuse incivility.

**William F. Moran, III**, is the managing partner at Stratton, Moran, Reichert, Sronce & Appleton in Springfield, IL. His practice is in the areas of administrative law, civil and criminal litigation, appeals, family law, trademarks and general practice. He is a member of the Sangamon County and Illinois State Bar Associations. He is formerly a law clerk, counsel and senior counsel for the Administrator of the Illinois Attorney Registration and Disciplinary Commission. He was the director of arrangements, secretary, treasurer and past president of the Lincoln-Douglas Inn of Court, and is the commissioner of the Illinois Supreme Court Commission on Professionalism. He was admitted to the Illinois bar in 1985; U.S. District Court, Central District of Illinois in 1986; U.S. Court of Appeals for the Seventh District in 1999; and the U.S. Supreme Court in 1989.

**4 p.m.  
Adjourn**

# Registration Fees

Fees include hand-out materials, refreshment breaks, and lunch. An income-tax deduction may be allowed for educational expenses undertaken to maintain or improve professional skills.

### **Cancellation Policy**

Registrants cancelling two days prior to each seminar receive 100% refund; one day prior, 50%; the day of the seminar, no refund. **All cancellations must be made in writing prior to the seminar day. Invoices and training materials will be sent to all "no shows."**

### **CBAI & ISBA MEMBERS**

First Registration .....	\$265
Each Additional Person .....	\$245

### **\*\*PROSPECTIVE-MEMBER**

First Registration .....	\$465
Each Additional Person .....	\$445

***CDD members receive a 10% discount.***

**\*\*Only financial institutions/firms eligible for CBAI membership.**

***"CBAI is monitoring the COVID-19 situation and will make any changes to in-person sessions as necessary to comply with state and federal mandates and orders."***

# Registration Form

SEMINAR FOR THE COMMUNITY-BANK ATTORNEY

JULY 24, 2020

SHAZAM Education Center, CBAI Headquarters, Springfield

**Please Print**

Name of Bank \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Tel. No. \_\_\_\_\_

Name/Title \_\_\_\_\_

E-Mail \_\_\_\_\_

(E-mail is required for registration).

Name/Title \_\_\_\_\_

E-Mail \_\_\_\_\_

(E-mail is required for registration).

\_\_\_\_ I have special needs, please contact me before the seminar.

**Please select your payment method.**

\_\_\_\_ Check Enclosed

\_\_\_\_ Check in Mail

\_\_\_\_ Pay at Door

\_\_\_\_ Credit Card\*

*\*If you are paying by credit card, please fill out the following information.*

*(Visa, MasterCard & Discover accepted).*

Name as It Reads on Card \_\_\_\_\_

Company Name on Card \_\_\_\_\_

Billing Address of Card \_\_\_\_\_

Card Number \_\_\_\_\_ Exp. Date \_\_\_\_\_

Three-Digit Security Code \_\_\_\_\_



**Mail it in:**  
CBAI Education Department  
901 Community Drive  
Springfield, IL 62703-5184



**Fax it in:**  
(217) 585-8738



**Call it in:**  
(800) 736-2224  
(217) 529-2265



**Click it in:**  
[www.cbai.com](http://www.cbai.com)

## Date and Location

July 24, 2020

SHAZAM Education Center

CBAI Headquarters

901 Community Drive

Springfield, IL 62703

217/529-2265

## Agenda

Registration begins at 8:30 a.m. The seminar runs from 9 a.m. to approximately 4 p.m. Continental breakfast and lunch provided.

## Continuing Education

CBAI is a registered Public Accounting Continuing Professional Education (CPE) provider by the Illinois Department of Financial and Professional Regulation. CBAI has applied for 5.5 hours of Minimum Continuing Legal Education (MCLE) credit.

## For More Information

Tracy McQuinn, Senior Vice President

Melinda McClelland, Vice President

Jennifer Nika, Vice President

Kim Harden,

Administrative Assistant

Tina Horner,

Administrative Assistant

Department of Education

& Special Events

800/736-2224 217/529-2265

Fax: 217/585-8738

Terry Griffin,

Vice President Chicago Area

773/209-2260

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