

CBAI's Community Bank Cybersecurity Conference

March 3, 2020
Springfield, IL



Cybersecurity Update: Threats & Risks

The Three-Legged Stool of Privacy, Data Security & Vendor Management

Cybersecurity: A Banker's Perspective

The Evolving Role of the Technology Professional

Blocking & Tackling: Rewriting Your Fraud Playbook

10 Ways Your Team Can Improve Risk Monitoring



Agenda

9 a.m. - 10:00 a.m.

“Cybersecurity Update: Threats & Risks” Ron Hulshizer, Managing Director, BKD Cyber

Hulshizer provides an update on the ever evolving threats and risks facing community banks, as well as stories from the trenches concerning cybersecurity threats and the steps banks can take to protect themselves. Hulshizer also covers the rapidly growing threat of targeting by bad actors of executives at community banks.

10:10 - 11:10 a.m.

“The Three-Legged Stool of Privacy, Data Security & Vendor Management” Michael Carpenter, Vice President Risk Management, Ncontracts

Your financial institution is doing everything it can to protect consumer data, but what about your third-party vendors? With cyber breaches on the rise and a confusing patchwork of state, federal and international privacy laws to contend with, it's essential to have a game plan for managing privacy, data security and vendor management at an enterprise level. This program dives into best practices and strategies for managing and mitigating the risk of third-party vendors exposing your sensitive data. You'll learn: • How data security/privacy regulations including Regulation P, GDPR and state laws (including California and New York) impact GLBA-protected data, your institution, and your vendors; • The biggest third-party security pitfalls; • Strategies, processes and safeguards for reducing third-party privacy breach risk; • Proactive steps employees and departments can take to reduce the risk of a vendor privacy breach; • Case studies and contingency plans for handling vendor data breaches. It only takes one data incident to damage your financial institution's reputation. Join us as we delve into the intersection of privacy, data security and vendor management and highlight ways to help protect and manage vendor data privacy.

11:15 - 12:15 p.m.

“Cybersecurity: A Banker's Perspective” Ben Hayden, Risk Services Manager, SHAZAM

So we've heard all about the Cybersecurity Assessment Tool and we understand regulators are pushing harder on information security – but why? Where should institutions differentiate between a “check in the box” and effective cybersecurity? We explore some of the more recent data breaches and address some inexpensive but very effective ways institutions can tighten their own security. In addition, we look at where community institutions are falling short in their audits and how that can leave them vulnerable to hackers.

12:15 - 12:45 p.m. Lunch

12:45 - 1:45 p.m.

“The Evolving Role of the Technology Professional” Mark Scholl, Partner, Wipfli LLP

The future of the IT professional will be quite different than we've seen in the past. The fast-paced evolution of financial institution IT dependent products and services along with the increase for outsourcing IT services require much more from your technology staff. Learning Objectives: 1) Understand new technology trends that affect how banks manage their information systems and their customers; 2) Understand drivers for outsourcing; and 3) Understand pitfalls for changing IT roles and deploying new technologies.

1:50 - 2:50 p.m.

“Blocking & Tackling: Rewriting Your Fraud Playbook” Liz Little, Fraud Consultant, SHAZAM

What are the latest tactics, trends and tricks that fraudsters are using to get your customer's information? With ever-evolving tactics, it can be difficult to stay abreast of the current trends. Learn about the many ways criminals are gathering card information and what can be done to prevent fraud and card losses. Fraud detection systems, blocking transactions, transaction alerts and other important tools are discussed. From EMV cards to the increase in skimming attacks, we discuss ways to keep fighting back.

2:55 - 3:55 p.m.

“10 Ways Your Team Can Improve Risk Monitoring” Michael Carpenter, Vice President Risk Management, Ncontracts

Risk monitoring touches every department and business process of a financial institution. Identifying, assessing, mitigating and monitoring risk requires an inclusive strategy that ensures everyone's involvement. This program offers advice for improving risk monitoring in 10 areas: • Enterprise Risk Management (ERM) • Risk Assessment vs. Risk Monitoring • Risk Tolerance vs. Risk Appetite • Inherent Risk vs. Residual Risk • Risk Response Strategies • Key Indicators (KPIs and KRIs) • Regulatory Requirements Related to Risk • Industry Challenges • Processes and Strategies • Collaborate Tools. This program is tailor-made for bankers interested in deepening their knowledge of the scope of risk and improving risk monitoring.

Speakers

Mark Scholl is a partner in Wipfli LLP's risk advisory and forensics practice. With more than 30 years of experience, he specializes in all aspects of technology services, primarily for financial institution and health care clients. In addition, Scholl has an internal leadership role as the Illinois market leader, responsible for oversight and growth.

Ben Hayden has a unique background, yielding one-of-a-kind insights. He has been a law enforcement official and a bank security officer and now he's a SHAZAM cybersecurity expert. Hayden identifies the most critical areas of risk for your institution and allows you to walk away with practical advice and new perspectives on your role in protecting your institution from physical and virtual risks. He helps financial institutions mitigate risks in information technology, cybersecurity, physical security and BSA compliance. Hayden holds master's degrees in both computer engineering and information assurance, is a certified anti-money laundering specialist, and member of the Association of Certified Anti-Money Laundering Specialists. He has additional certifications and extensive training in electronic investigations, digital forensics and active shooter response.

Ronald D. Hulshizer, managing director, IT risk services, with BKD, LLP has more than 25 years of experience helping companies with information technology (IT), security and financial accounting issues. He primarily focuses on providing internal audit IT and IT security services to financial institutions. His team conducts FFIEC reviews, general IT control reviews, internal and external penetration testing, business continuity plans and social engineering reviews for approximately 250 banks and financial institutions. He also coordinates System and Organization Controls reports and SSAE 18 reviews for banking and financial institution clients. Prior to joining BKD, Hulshizer worked at technology firms, where he was responsible for financial and operational aspects, including IT operations and data centers.

Liz Little knows the ins and outs of fraud and how fraud challenges community financial institutions. She engages with the audience and provides real-world information that helps participants understand how to mitigate fraud risk. For the past 18 years, she's been researching fraud trends in the EFT industry and utilizes her experience in providing fraud prevention tips and strategies to protect your institution. Little is a member of the Midwest Financial Fraud Investigators and she works with law enforcement to help identify fraud schemes when the need arises.

Michael Carpenter serves as vice president risk management at Ncontracts, after having built solid expertise in all facets of risk management throughout his 20-year career. He has built and run programs such as board of directors training/reporting, BSA/AML, ID theft, and red flags, information security, compliance management systems and more making him an indispensable resource in helping bankers understand the need for third-party and risk management. Carpenter has worked in both small community financial institutions and larger institutions.

Registration Fees

Fees include hand-out materials, refreshment breaks, and lunch. An income-tax deduction may be allowed for educational expenses undertaken to maintain or improve professional skills.

Cancellation Policy

Registrants cancelling two days prior to each seminar receive 100% refund; one day prior, 50%; the day of the seminar, no refund. All cancellations must be made in writing prior to the seminar day. Invoices and training materials will be sent to all "no shows."

CBAI MEMBER

First person.....	\$265
Each additional from same banking institution.....	\$245 each

**PROSPECTIVE-MEMBER

First person.....	\$465
Each additional from same banking institution.....	\$445 each

CDD members receive a 10% discount.

****Only financial institutions/firms eligible for CBAI membership.**

Registration Form

CBAI's Community Bank Cybersecurity Conference
March 3, 2020

Please Print

Name of Bank _____

Address _____

City, State, Zip _____

Tel. No. _____

Name/Title _____

E-Mail _____

I have special needs, please contact me before the seminar.

Name/Title _____

E-Mail _____

I have special needs, please contact me before the seminar.

Please select your payment method.

Check Enclosed

Check in Mail

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Credit Card*

**If you are paying by credit card, please fill out the following information.
(Visa & MasterCard accepted).*

Name as It Reads on Card _____

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Card Number _____

Exp. Date _____

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Mail it in:
CBAI Education Department
901 Community Drive
Springfield, IL 62703-5184



Fax it in:
(217) 585-8738



Call it in:
(800) 736-2224 (Illinois only)
(217) 529-2265



Click it in:
www.cbai.com

Date and Location

March 3, 2020

Shazam Education Center
CBAI Headquarters
901 Community Drive
Springfield, IL 62703
800/736-2224

Continuing Education

CBAI is a registered Public Accounting Continuing Professional Education (CPE) provider by the Illinois Department of Financial and Professional Regulation.

Agenda

Registration begins at 8:30 a.m. The seminar runs from 9 a.m. to approximately 4 p.m. A continental breakfast & lunch are provided.

For More Information

Tracy McQuinn,
Senior Vice President
Melinda McClelland, Vice President
Jennifer Nika, Vice President
Kim Harden & Tina Horner,
Administrative Assistants
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