



COMMUNITY BANKERS ASSOCIATION OF ILLINOIS

Webinar – On-Demand Web Link

BSA High-Risk Customers: Identifying, Enhanced Due Diligence & Monitoring
Wednesday, January 8, 2020
2 - 3:30 p.m.

BSA/AML compliance programs are always a hot button with examiners and are even more important now. All institutions must use a risk-based approach to ensure their policies, procedures and processes are commensurate with the risk associated with particular customers or products. This webinar explains how to identify and assess the risk – low, medium, or high – associated with customers and products. You learn the additional steps to conduct the “enhanced” due diligence required for higher-risk customers and the customer and product due diligence necessary for ongoing monitoring.

HIGHLIGHTS

- Create a proper customer risk profile and properly assign risk ratings
- Distinguish which customers should be deemed higher risk
- Determine the appropriate due diligence required at account opening and later throughout the relationship
- Explain when a high-risk customer or product can be reduced to a moderate or low risk
- Conduct a BSA/AML quantity of risk assessment for your institution

TAKE-AWAY TOOLKIT

- FFIEC BSA/AML manual’s Quantity of Risk Matrix
- Employee training log
- Interactive quiz

DON’T MISS THESE RELATED WEBINARS!

The Changing Face of BSA Administration: What BSA Officers & Management Must Know on Wednesday, December 11, 2019 & BSA Special Risks: Policy, Law Enforcement & Regulator Issues on Wednesday, November 20, 2019
[Attendance verification for CE credits provided upon request.](#)

WHO SHOULD ATTEND?

This informative session benefits all account opening personnel, compliance staff, BSA and security officers, operations department staff, auditors and managers.

ABOUT THE PRESENTER

Elizabeth Fast is a partner with Spencer Fane LLP where she specializes in the representation of financial institutions. Fast is the head of the firm’s training division. She received her law degree from the University of Kansas, Lawrence, and her undergraduate degree from Pittsburg State University. In addition, she has a Master of Business Administration degree and she is a Certified Public Accountant. Before joining Spencer Fane, she was general counsel, senior vice president and corporate secretary of a \$9 billion bank with more than 130 branches, where she managed all legal, regulatory and compliance functions. She is a member of the Missouri State Banking Board by appointment of the governor.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR

The live webinar option allows you to have one telephone connection for the audio portion and one Internet connection (from a single computer terminal) to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that allow entrance to the seminar. The session is approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts are emailed to you prior to the broadcast. You need the most-current version of Adobe Reader available free at www.adobe.com.

2. ON-DEMAND WEB LINK**

Can’t attend the live webinar? The ON-DEMAND WEB LINK** is a recording of the live event including audio, visuals, and handouts. We even provide the presenter’s email address so you may ask follow-up questions. Within five business days following the webinar, you are provided with a web link that can be viewed anytime for the next six months. This link expires six months after the live program date.

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