



COMMUNITY BANKERS ASSOCIATION OF ILLINOIS

Webinar – On-Demand Web Link

BSA Compliance Requirements During the COVID-19 Challenge

Friday, April 24, 2020

10 - 11:30 a.m.

In a few short weeks COVID-19 has dramatically changed our daily lives. Your accountholders have been forced to change how they conduct even the most basic banking transactions. Many branch lobbies are closed to everyday traffic, employees are working remotely, and in some cases, accountholder activities are shifting toward a “new” normal. Amid of all this, your institution is still required to adhere to BSA requirements and ensure the five pillars supporting your BSA program hold strong despite the chaos.

Join this jam-packed session to learn how the COVID-19 pandemic and related regulatory orders and guidance have affected BSA compliance. It addresses policy and risk assessment considerations, proper CIP exception documentation, what to do if reports can't be filed on time, and how to protect accountholders from potential scams and report suspected fraud. You learn the latest regulatory guidance, plus tips and best practices to navigate today's unique challenges.

HIGHLIGHTS

- Navigate CDD requirements
- Develop a contingency plan for your AML monitoring program
- Update policies, procedures and risk assessments to comply with recent regulatory guidance
- Document exceptions to BSA policy and procedures to avoid examination scrutiny
- Identify red flags for common COVID-19-related scams and recognize when a SAR may be warranted

TAKE-AWAY TOOLKIT

- Sample BSA risk assessment updated to address COVID-19
- Spreadsheet to track pandemic-related BSA program changes
- Employee training log

DON'T MISS THESE RELATED WEBINARS!

BSA High-Risk Customers: Identifying, Enhanced Due Diligence & Monitoring on Wednesday, January 8, 2020

Leveraging BSA Controls for More Effective OFAC Compliance on Wednesday, May 13, 2020

Avoiding BSA Compliance Violations on Tuesday, December 1, 2020

Attendance verification for CE credits provided upon request.

WHO SHOULD ATTEND?

This informative session is designed for BSA officers, compliance officers, new accounts personnel and branch managers.

ABOUT THE PRESENTER

Dawn Kincaid, Brode Consulting Services, Inc., began her banking career while attending The Ohio State University, Columbus. She has 17 years experience in client service, operations, information technology, administrative and board relations, marketing and compliance. Most recently, Kincaid served as the senior vice president of operations for a central-Ohio-based community bank, where she created and refined policies and procedures, conducted self-audits and risk assessments, and organized implementation of new products and services. Kincaid has served in the roles of compliance, BSA/AML, CRA, privacy and security officer. She has led training initiatives, prepared due diligence information, completed a variety of regulatory applications, and coordinated internal and external audits and exams.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR

The live webinar allows you to have up to three audio and Internet connections to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that allow entrance to the seminar. The session is approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts are emailed to you prior to the broadcast. You need the most-current version of Adobe Reader available free at www.adobe.com.

2. ON-DEMAND WEB LINK**

Can't attend the live webinar? The ON-DEMAND WEB LINK** is a recording of the live event including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Within two business days following the webinar, you are provided with a web link that can be viewed anytime for the next six months. This link expires six months after the live program date.

3. BOTH LIVE WEBINAR AND ON-DEMAND WEB LINK**

Options 1 and 2 described above.

NEW! Listen to the on-demand web link on your iPad, iPhone, Android. Instructions will be emailed to you with the on-demand link.

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AFFORDABLE TRAINING, WHEN AND WHERE YOU CHOOSE

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