

BSA GRADUATE SCHOOL



*Managing the Bank's
BSA Program*

August 26 & 27, 2020

**SHAZAM Education Center
CBAI Headquarters
901 Community Drive
Springfield, IL 62703**

Presented By:



Consultants to the Financial Industry

Young & Associates, Inc.

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**Community
Bankers
Association
of Illinois®**

BSA Graduate School

Addressing the challenges of managing an effective BSA program.

This 2-day program is designed for BSA professionals who are competent in the core requirements of Bank Secrecy Act rules and will provide a higher level of lecture, training, and interactive discussion regarding the challenges of developing and managing an effective BSA program. Much of the discussion will revolve around real life case studies which will be an integral part of the school.

This school will also address the new BSA Exam Manual published earlier this year and any up to the minute changes.

A major focus of the program will be managing a BSA program. Topics will include discussions on ethics, efficiency vs. effectiveness, dealing with examinations, escalating issues within the organization, and managing MSB relationships. In addition, the class will learn to design an effective BSA program through the study of workflow charts, which will be provided. We will discuss best practices for impacting the BSA program and we will discuss a policy checklist, as well as how to conduct an analysis to ensure your program is as refined as possible.

The course will rely heavily on a roundtable discussion. The facilitator will guide the group through a number of pre-determined discussion topics, as well as topics requested by the attendees. Each attendee is encouraged to contribute at least one topic they would like to discuss – and attendees will be solicited for topics prior to the school.

All assignments will be based on the lecture material, followed by hands on analysis completed in a group format, and/or guided general class discussion. While this program is not designed to teach the basic elements of BSA, those new to BSA may find this program beneficial in regards to the management nature of the program.

Dates and Location

August 26 & 27, 2020

SHAZAM Education Center
CBAI Headquarters
901 Community Dr.
Springfield, IL 62703

Hotel Reservations:

La Quinta by Wyndham
1121 Lejune Drive, Springfield
217.697.5686
Special room rate—\$70 plus tax

Registration: 8:30 AM on the first day
Seminar: 9:00 AM—4:00 PM each day

Who Should Attend

The BSA Graduate School is designed for individuals who have a good working knowledge of all aspects of the BSA regulations and rules. While not limited to BSA officers, it will be beneficial for any person who has BSA-related responsibilities at the bank. This program is not designed to teach the basic elements of BSA.



BSA Graduate School

AGENDA

Advanced BSA Elements

- Risk Assessments
- Privately Owned ATMs
- Systems to Identify and Report Suspicious Activity
- Independent Testing
- Culture of BSA/AML Compliance
- Filings SARs
 - General Guidance
 - Elder Abuse

Developing a BSA Program

- Evaluating a BSA Program
- Formalizing a BSA Program
- Building a BSA Policy

Managing a BSA Program

- Overview
- BSA/AML Responsibilities
- Key Ingredients to BSA Management
- Reports and Ticklers
- The Five E's of BSA Management
 - Effectiveness
 - Efficiency
 - Ethics
 - Examinations
 - Escalation
- Managing Money Services Business (MSB) Relationships

Regulatory Changes

- BSA Exam Manual
- CDD Update

Roundtable Discussion

- Possible Topics to Discuss
 - Enlisting Support
 - Integrating BSA into the Organizational Culture
 - Rolling Out a New Program or Procedure
 - Presenting to the Board
 - Regulatory Change Management Best Practices
 - Marijuana Businesses

Case Studies and Discussions

- Recurring errors in CTRs
- Recurring errors in SARs
 - Real life scenarios and case studies for discussion (when the correct answer is not always obvious)



Presenter



Bill Elliott, CRCM, Senior Consultant and Manager of Compliance with Young & Associates, Inc., has over 40 years of banking experience. Bill works on a variety of compliance-related issues, including leading compliance seminars, conducting compliance reviews for all areas of compliance, conducting in-house training, and writing compliance articles and training materials. During his career, Bill spent 15 years as a compliance officer and CRA officer in a large community bank, and also worked for a large regional bank. He has been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments, including loan review, consumer/commercial loan processing, mortgage loan processing, loan administration, credit administration, collections and commercial loan workout.

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Registration Fees:

CBAI Member

- First Registration—\$435
- Each Additional Person—\$405

Prospective CBAI Member

- First Registration—\$835
- Each Additional Person—\$805

Designated Banker:

Bank Name _____

Attendee Name _____

Attendee Name _____

Address _____

City _____ State _____ ZIP _____

Telephone _____ Fax _____

E-mail(s) _____

(E-mail address required for registration)

I have special needs, please contact me before the seminar.

Please select your payment method:

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