

BSA / AML Conference



Focusing on all applicable BSA activity over the last 12 months.

April 2, 2020

SHAZAM Education Center

CBAI Headquarters

Springfield, IL

Presented By:



Sponsored By:



BSA / AML CONFERENCE

Regulatory expectations for BSA continue to remain high, and banks have to react appropriately. There is a continued need to focus on the effective and meaningful elements of a BSA program. This conference will discuss many “need-to-know” issues for the BSA/AML professional. Each topic will be considered in depth, with an emphasis on practical approaches.

This one-day program is designed to focus specifically on all applicable BSA activity over the last 12 months. The agenda for this annual conference changes each year and typically includes (as applicable) an overview of recent FinCEN activity, as well as applicable case studies, industry hot topics, and an interactive round table discussion.

This program helps to keep BSA personnel informed of industry trends, regulatory focuses, and other information relevant to the BSA professional.

Our focus for 2020 will include the following topics:

FinCEN Activity and Emerging Trends

A core focus of this portion of the program is to look at applicable BSA activity over the last year. This section will discuss recent pronouncements that have been issued by FinCEN, such as enforcement actions, remarks by various FinCEN employees, and other applicable releases. In addition, information from other BSA/AML groups - such as the Financial Action Task Force - may also be discussed, as applicable.

Hemp



One of the issues that everyone will have to face are the requirements for Hemp production in the state of Ohio, and the impacts it may have on your operation. This will require changes to deposits (new account opening especially) and lending. And if you already have a farmer on your books, and they start growing Hemp, the risk could increase dramatically. We will have an in-depth discussion of what you need to consider in your shop.

Marijuana

Many attendees will have had to face situations regarding this issue. We will discuss what we are seeing, and will solicit comments from attendees regarding the impact it is having on your organizations.

Case Studies

The program will also include case studies to help further develop BSA management skills. The case studies will highlight real life scenarios that possibly could happen to you and your bank.

DATE AND LOCATION

April 2, 2020

**SHAZAM Education Center
CBAI Headquarters
Springfield, IL**

Registration begins at 8:30 AM
and the seminar will run from
9:00 AM to 4:00 PM.

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BSA Management

If the new Exam Manual is not out in time for this seminar, we will include a discussion of various possible approaches to manage the “human” portion of the BSA process, and will invite attendees to offer suggestions that they have found effective, as well as approaches that did not work nearly as well.

BSA Exam Manual

A brand new Exam Manual for BSA is promised for January/February of 2020. Whether it is published or not remains to be seen. If it is published in time, we will discuss the impact of the changes. So far, it appears that they are changing the approach of exams from a flat system (we will look at everything) to a more risk based approach, which will probably require more detailed risk analysis. If the Exam Manual is out in time, the BSA management piece will be omitted.

Roundtable Discussion: Compliance Challenges

Our session will conclude with a roundtable discussion among participants, as we share together the challenges that face bank employees charged with BSA/AML responsibilities. The presenters will attempt to group financial institutions of similar size together for this discussion. Discussion topics will be solicited from the attendees in the weeks prior to the conference.



Seminar Presenter

Bill Elliott, CRCM, Senior Consultant and Director of Compliance Education, Young & Associates, Inc.



With over 40 years of banking experience, Bill Elliott leads the compliance department at Young & Associates, Inc. where he conducts compliance reviews, leads compliance seminars, conducts in-house training, and writes compliance articles and training materials. During his career as a banker, Bill spent 15 years as a compliance officer in a large community bank. He has also been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments including loan review, consumer/commercial loan processing, mortgage loan processing, loan and credit administration, collections, and commercial loan workout.

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REGISTRATION FEES

CBAI Member:

- \$265 First Registration
- \$245 Each Additional Person

Prospective Member:

- \$465 First Registration
- \$445 Each Additional Person

April 2, 2020

SHAZAM Education Center
CBAI Headquarters
901 Community Dr.
Springfield, IL 62703

For additional information, call:

Tracy McQuinn - Senior Vice President
of Education and Special Events

Melinda McClelland - Vice President

Jennifer Nika - Vice President

Kim Harden - Administrative Assistant

Tina Horner - Administrative Assistant

Department of Education and Special
Events at 1-800-736-2224 for banks in
Illinois or (217) 529-2265

or Terry Griffin - Chicago area Vice
President, at (773) 209-2260 or Fax
number: (217) 585-8738

REGISTRATION FORM

Designated Banker:

Name _____

Bank _____

Address _____

City _____ State ____ ZIP _____

Telephone _____

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E-mail address is required for registration.

Additional Delegates

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